

# Inverter-based Voltage Control of Distribution Networks: A Three-Level Coordinated Method and Power Hardware-in-the-Loop Validation

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**Abstract**— The reactive power of the photovoltaic (PV) inverters has great potential for voltage regulation of distribution networks. In this paper, a new three-level coordinated control method for PV inverters is proposed to address network voltage fluctuation and violation issues. In Level I, a ramp-rate control is designed to smooth the network voltage fluctuations, while in Level II, a droop control is designed to alleviate the network voltage deviations. If the local compensation provided by Level I and II is not enough to regulate the network voltages within the required limits, the Level III control based on dynamic average consensus can respond and share the reactive power requirement among other inverters in a distributed way. The proposed control method can smooth the voltage profiles, restrain the voltage rise/drop problem, and coordinate all PV inverters in real-time when there is no feasible local solution. The stability analysis of the proposed three-level coordinated control for network voltage regulation is provided. The power hardware-in-the-loop (PHIL) experiment has been conducted for validating the proposed control method under various scenarios.

**Index Terms**— Voltage regulation, PV inverters, distributed control, power hardware-in-the-loop, distribution networks.

## I. INTRODUCTION

THE penetration rate of power inverter-interfaced distributed energy resources (DERs) has been increasing largely in recent years. Among all kinds of DERs, rooftop photovoltaics (PVs) and plug-in electric vehicles (PEVs) are two major DERs in residential distribution networks [1], [2]. The reverse power flow caused by high PV generation can lead to voltage rise or over-voltage in the daytime [3]. While in the evening, the massive charging of PEVs increases residential load demand, which can result in voltage drop or under-voltage issues [4]. In addition, sudden voltage fluctuations can be caused by fast and stochastic power variation of PVs and PEVs. In some practices [5], the voltage fluctuations lasting ten seconds or longer at customer side should be  $\pm 3\%$  or less, regardless of the fluctuation frequency.

In power distribution networks, the bus voltages are conventionally regulated by mechanical devices such as on-

load tap changers, step voltage regulators and switched capacitors, etc. [6], [7]. However, such devices have a much lower response speed than the fast PV output change, and their lifetime will be reduced dramatically due to frequent tap/switch changing. In such circumstances, the IEEE 1547.8 working groups have been revising the standards to allow the inverters to contribute to voltage regulation in distribution networks [8].

The voltage control problem in power distribution networks are handled hierarchically in multi timescales by various devices. In long time-scales, the voltage regulation is usually formulated as an optimization problem [9], [10]. Since such methods require full information of the network and greater computation and communication capability, they are usually operated in larger dispatch intervals (e.g. 15mins). This paper focus on the real-time voltage control, which can be simply achieved in a decentralized manner based only on local voltage measurement. The decentralized voltage control by DER inverters can be further divided into  $Q/P$  and  $Q/V$  strategies [11], [12]. In  $Q/P$  strategy, the reactive power output of the inverter is a function of its real power output, known as ramp-rate control [11]. In  $Q/V$  strategy, the reactive power output of the inverter is dependent on the bus voltage, such as voltage droop control [12]. However, with the high uncertainty and plug-and-play ability of DERs, the system conditions can change rapidly. This makes the decentralized control alone difficult to handle the voltage regulation problem [13]. The network voltages may still violate the operation limits even with the specified droop settings and dispatched set-points. This makes real-time coordination among local controllers necessary.

To achieve real-time coordination among the multiple devices, distributed controls have become a promising solution, especially for sparse communication infrastructure as it is the case of distribution networks. The distributed control structure has the features of better cyber resilience and scalability compared to the centralized solution [14]. The distributed control has drawn increasing attention in the power research area [15]–[19]. In the earlier stage, distributed control based on leader-follower consensus algorithm is applied for network

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voltage regulation. In [15], the distributed cooperative control of multiple PV inverters is introduced. In [16], a distributed control for a group of PV-storage systems has been proposed for network voltage rise issues. The methods in these works rely on the measurement and calculation from the leader controller. The distributed dynamic average consensus algorithm has been firstly applied for power system frequency control [17]. In contrast to the leader-follower consensus, the distributed average consensus calculates the average value of all units states in a fully distributed manner. Then this method has been applied for secondary voltage and frequency control in islanded microgrids [18], [19].

To increase the control system resilience, the local voltage control is still important, especially when the communication based distributed control cannot be performed. This inherently forms a hierarchical or multi-level voltage control scheme. In [13], a two-stage control which contains local droop control and distributed control is proposed. The voltage control scheme in [16] also contains local control and distributed control. In [20], a two-level voltage control by electrical springs is proposed, which contains both optimized dispatch and distributed operation. In [21], a voltage regulation scheme combined local and centralized control is proposed. In [22], the decentralized and distributed voltage control scheme is proposed. From the literature, droop control based methods [11], [12], leader-follower consensus control [15], [16], and two-level control [20]-[22] have been proposed for network voltage regulation. It can be found that: (i) The real-time voltage fluctuations and voltage limit violations are not simultaneously considered. (ii) The distributed voltage regulation scheme relies on a leader (not fully distributed). (iii) The stability problems of multi-level coordinated voltage control are not systematically addressed. (iv) The distributed voltage control algorithms lack experimental validation to prove their real-world applicability.

Aiming to fill the above gaps, this paper proposes a new three-level coordinated voltage control approach that utilizes reactive power from PV inverters to deal with both voltage fluctuations and limits violations in distribution networks. The proposed control scheme is implemented and validated on a power hardware-in-the-loop (PHIL) experimental platform. Compared with most existing works with only simulations, the test platform emulates a more realistic environment for the evaluation of the proposed control scheme. The contributions of this paper are summarized as follows:

First, a three-level fully distributed voltage control scheme (i.e. ramp-rate control, droop control, and distributed control) is proposed. The proposed three-level operates autonomously according to the network voltage conditions. The guidelines for control gain selection for each control level are discussed.

Second, the closed-loop system stability of the proposed three-level control for voltage regulation in distribution networks is analyzed. The system stable conditions under different voltage ranges are derived.

Third, the proposed three-level control is validated by means of a PHIL experiment, where a real hardware inverter, separate distributed controller design, and realistic communications are incorporated. The results demonstrate the performance of the

proposed control under various operating conditions, which adds to the practical value of the proposed method.

This paper is organized as follows. In Section II, preliminaries including modeling of distribution networks, PV inverters, and communication networks are introduced. Next, a three-level coordinated voltage control is presented in Section III. In Section IV, the stability analysis of the proposed control scheme is provided. The PHIL experiment is conducted and the results are discussed in detail in Section V. Finally, Section VI draws the conclusion.

## II. PRELIMINARIES

In this section, the preliminaries including the models of distribution networks, PV inverters, and communication networks are presented.

### A. Power Distribution Networks

For a distribution network with  $N+1$  buses denoted by  $i=0, 1, \dots, N$ , bus  $i=0$  is defined as the slack bus whose voltage can be adjusted by a substation transformer. The remaining  $N$  buses are considered to be PQ buses, injecting or absorbing real and reactive power. The relationship between real power  $\Delta P$  and reactive power  $\Delta Q$  injection with respect to bus voltage magnitude  $\Delta V$  and angle  $\Delta \theta$  can be obtained from the Jacobian matrix by solving the power flow equations [11], [13]:

$$\begin{bmatrix} \Delta P(t) \\ \Delta Q(t) \end{bmatrix} = \begin{bmatrix} \mathbf{J}_1 & \mathbf{J}_2 \\ \mathbf{J}_3 & \mathbf{J}_4 \end{bmatrix} \begin{bmatrix} \Delta \theta(t) \\ \Delta V(t) \end{bmatrix} \quad (1)$$

where  $\Delta P = \text{col}\{\Delta P_1, \Delta P_2, \dots, \Delta P_N\}$ ,  $\Delta Q = \text{col}\{\Delta Q_1, \Delta Q_2, \dots, \Delta Q_N\}$ ,  $\Delta V = \text{col}\{\Delta V_1, \Delta V_2, \dots, \Delta V_N\}$ , and  $\Delta \theta = \text{col}\{\Delta \theta_1, \Delta \theta_2, \dots, \Delta \theta_N\}$ .

The elements of matrix  $\mathbf{J}_1$ ,  $\mathbf{J}_2$ ,  $\mathbf{J}_3$ , and  $\mathbf{J}_4$  are as below

$$\mathbf{J}_{1,ij} = \left[ \frac{\partial P_i}{\partial \theta_j} \right], \mathbf{J}_{2,ij} = \left[ \frac{\partial P_i}{\partial V_j} \right], \mathbf{J}_{3,ij} = \left[ \frac{\partial Q_i}{\partial \theta_j} \right], \mathbf{J}_{4,ij} = \left[ \frac{\partial Q_i}{\partial V_j} \right].$$

Assuming that  $\mathbf{J}_1$  is invertible, the deviation in bus voltage magnitude can be derived from (1) that

$$\begin{aligned} \Delta V(t) &= (\mathbf{J}_4 - \mathbf{J}_3 \mathbf{J}_1^{-1} \mathbf{J}_2)^{-1} [\Delta Q(t) - \mathbf{J}_3 \mathbf{J}_1^{-1} \Delta P(t)] \\ &= \mathbf{S}_{VQ} \Delta Q(t) + \mathbf{S}_{VP} \Delta P(t) \end{aligned} \quad (2)$$

where the voltage sensitivity matrices are derived as  $\mathbf{S}_{VQ} = (\mathbf{J}_4 - \mathbf{J}_3 \mathbf{J}_1^{-1} \mathbf{J}_2)^{-1}$ ,  $\mathbf{S}_{VP} = -(\mathbf{J}_4 - \mathbf{J}_3 \mathbf{J}_1^{-1} \mathbf{J}_2)^{-1} \mathbf{J}_3 \mathbf{J}_1^{-1}$ . It should be noted that although  $\mathbf{S}_{VQ}$  and  $\mathbf{S}_{VP}$  are functions of  $t$ , the variations of them respect to  $t$  are relatively small for a wide range of operating conditions [13], [23]. Equation (2) gives a linearized representation of  $\Delta Q$  and  $\Delta V$  near the equilibrium point, which is used to investigate the stability analysis in Section IV. In distribution networks, bus voltages are affected by both real and reactive power changes due to the high resistance to reactance ratio (R/X). For simplicity, the PV systems and load demand are aggregated at each bus. The PV inverters are operated to provide the maximum power output. Therefore,  $\Delta P$  from PV inverters is just considered as a disturbance which is not controllable. To mitigate the impact of

$\Delta P$  on system voltages, a control approach to effectively control  $\Delta Q$  from PV inverters is investigated. In this paper, the positive sign refers to power absorption while the negative sign to power injection.

### B. PV Inverters

In grid-connected distribution networks, PV inverters are controlled in current control mode, functioning as current sources. The real power output of each PV inverter will follow the given PV data, while the reactive power output is controlled by the approach proposed in this paper.

To enable PV inverters to provide enough reactive power even when rated real power is being produced, the capacity of PV inverters can be oversized [24]. The available reactive power capacity of a PV inverter can be represented as:

$$\bar{Q}_i(t) = \sqrt{S_i^2 - P_i(t)^2} \quad (3)$$

$$S_i = (1 + \alpha_i) \bar{P}_i \quad (4)$$

where  $\bar{Q}_i(t)$  is the reactive power capacity of  $i^{\text{th}}$  PV inverter when the real power output is  $P_i(t)$ .  $S_i$ ,  $\bar{P}_i$  are the total capacity and rated real power of  $i^{\text{th}}$  PV inverter respectively.  $\alpha_i$  is the percentage by which  $i^{\text{th}}$  PV inverter can be oversized in the deployment stage [25].

### C. Communication Network

The communication network of PV inverters in the proposed control scheme can be described by a graph as  $\mathcal{G} = (\mathcal{V}, \mathcal{E}, \mathcal{A})$ , where  $\mathcal{V} = \{v_1, v_2, \dots, v_N\}$  is a set of  $N$  nodes, and  $\mathcal{E} = \mathcal{V} \times \mathcal{V}$  is a set of edges. Each node corresponds to the communication agent of a PV inverter while the edges represent the communication links for data exchange. If communication links are bidirectional,  $(v_i, v_j) \in \mathcal{E} \Rightarrow (v_j, v_i) \in \mathcal{E} \forall i, j$  the graph is said to be undirected, otherwise, it is directed. The adjacency matrix  $\mathcal{A} = [a_{ij}]$  is associated with the edges,  $a_{ij}$  represents the weight for information exchange between agents  $i$  and  $j$ , where  $a_{ij}=1$  if agents  $i$  and  $j$  are connected through an edge  $(v_i, v_j) \in \mathcal{E}$ , otherwise,  $a_{ij} = 0$ . The in-degree matrix is defined as  $\mathbf{D} = \text{diag}(d_i)$ , where  $d_i = \sum_{j=1}^N a_{ij}$ . The Laplacian matrix is defined as  $\mathbf{L} = [l_{ij}]$ , where  $l_{ij}$  is the element in  $\mathbf{L}$ , and  $\mathbf{L} = \mathbf{D} - \mathbf{A}$ . For a real-world implementation, communication can be achieved through both cable communications and wireless communications like WiFi or Zigbee technology.

## III. THREE-LEVEL COORDINATED VOLTAGE CONTROL

The proposed control approach comprises three hierarchical levels to control the voltage at different ranges, as illustrated in Fig.1. The Level I ramp-rate control aims to smooth the voltage fluctuations and operates at all voltage ranges. The Level II droop control aims to mitigate voltage deviations when the bus voltage is out of the desirable range  $[V_-, \tilde{V}]$ . The Level III distributed control will be activated when the bus voltage is beyond the allowable range  $[V_-, \bar{V}]$ . Note that the Level I and II controls are operated based on local voltage measurement

only, while Level III control relies on the voltage signals from adjacent inverters via a sparse communication network.

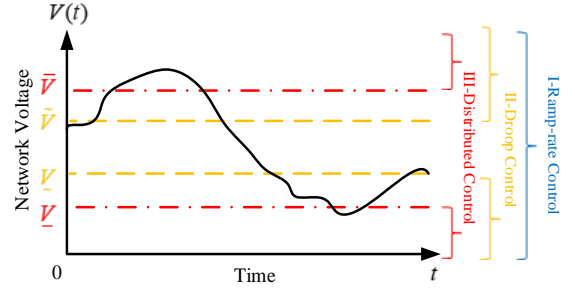


Fig. 1. The operational ranges of the proposed three-level control.

The objective of the proposed control approach is to determine an appropriate utilization ratio for each inverter. The utilization ratio  $u_i(t)$  of  $i^{\text{th}}$  PV inverter is defined as the reactive power output  $\Delta Q_i(t)$  divided by the reactive power capacity  $\bar{Q}_i(t)$ , i.e.  $u_i(t) = \Delta Q_i(t) / \bar{Q}_i(t)$ . Fig. 2 shows the overall architecture of the proposed control method. Each control level of the proposed control approach can calculate a utilization ratio, i.e.  $u_i^I(t)$ ,  $u_i^{II}(t)$ , and  $u_i^{III}(t)$ , according to the network voltage conditions. The utilization ratio  $u_i(t)$  of  $i^{\text{th}}$  PV inverter is the sum of these three levels' utilization ratios. The final reactive power output of  $i^{\text{th}}$  PV inverter is calculated from

$$\begin{cases} u_i(t) = u_i^I(t) + u_i^{II}(t) + u_i^{III}(t) \\ \Delta Q_i(t) = u_i(t) \cdot \bar{Q}_i(t) \\ u_i(t), u_i^I(t), u_i^{II}(t), u_i^{III}(t) \in [-1, 1]. \end{cases} \quad (5)$$

The details of each individual control level are explained in the following sub-sections.

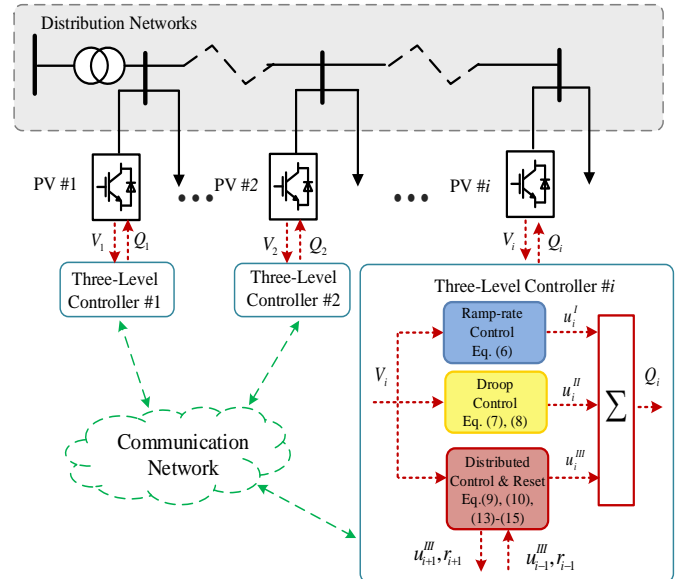


Fig. 2. The overall architecture of the proposed control scheme.

### A. Level I: Ramp-rate Control

The objective of Level I control is to locally regulate voltage ramp-rate within a limit, i.e.  $\left| \frac{V_i(t_1) - V_i(t_2)}{t_1 - t_2} \right| \leq VRR_{\lim}$ , where  $VRR_{\lim}$  is the limit of voltage ramp-rate.

The moving clouds (shading effect) can lead to significant voltage fluctuations, which can be locally smoothed by appropriate reactive power injection [26]. A moving average filter based ramp-rate controller is designed for each PV inverter. The high-frequency components of each bus voltage are extracted by subtracting the filtered voltage from the measured voltage and compensated with the inverter reactive power output determined by ramp-rate control. The utilization ratio of Level I control is updated as:

$$u_i^I(t) = K_i^I \left[ V_i(t) - \frac{\sum_{j=t-\omega}^t V_i(j)}{T(t) - T(t-\omega)} \right] \quad (6)$$

where  $\omega > 0$  is the length of the moving average window and  $K_i^I > 0$  is the ramp-rate control gain.

*Remark 1 (Level I control parameters selection):* Generally, increasing the moving average filter window length  $\omega$  will lead to more low-frequency components being compensated. The ramp-rate control gain  $K_i^I$  is proportional to the compensation effect of the ramp-rate control. The boundaries of control gain selection can be obtained from the stability analysis in Section IV. The criterion for selection of the ramp-rate control parameters in this paper is to guarantee that even under a large change of load demand, the network voltage fluctuations still satisfy the industrial requirement [5].

### B. Level II: Droop Control

The objective of Level II control is to locally mitigate the network voltage deviations, i.e.  $V_i(t) \rightarrow V_i(t)$ , when the local bus voltage is out of the defined voltage range  $[V, \tilde{V}]$ . A droop control will be activated to generate/absorb reactive power proportional to the bus voltage deviation. The utilization ratio of Level II control is updated as:

$$u_i^{II}(t) = \begin{cases} K_i^{II} (V_i(t) - \tilde{V}), & V_i(t) > \tilde{V} \\ 0, & V \leq V_i(t) \leq \tilde{V} \\ K_i^{II} (V_i(t) - V), & V_i(t) < V \end{cases} \quad (7)$$

$K_i^{II} > 0$  is the droop gain of the  $i^{\text{th}}$  PV inverter.  $[V, \tilde{V}]$  represents the dead band for the droop control.

*Remark 2 (Level II control parameters selection):* There are several methods to determine the voltage droop control parameters. A widely used method is to choose the droop gain proportional to the capacity of the inverters, where the line impedances are ignored [27]. Another method is adjusting droop coefficient adaptively to achieve a certain objective (e.g. economic dispatch and loss minimization) [28]. In distribution networks, to avoid the instability caused by improper droop

settings, it is suggested to choose the droop settings following voltage sensitivity analysis, especially when the distribution network is large [13], [29].

In this paper, the droop control gain of each inverter is selected based on the voltage sensitivity analysis as follows:

$$K_i^{II} = \beta_i \frac{1}{s_{ii}} \quad (8)$$

where  $s_{ii} = \frac{\partial V_i}{\partial Q_i} \in S_{QV}$  is obtained from (1) and (2),  $\beta_i$  is a scaler of the droop control gain. The boundaries of control gain selection should follow the stability analysis in Section IV.

### C. Level III: Distributed Control

In Level III of the proposed control, a distributed voltage control and its distributed reset algorithm to terminate the distributed controllers are proposed. The objective of Level III control is to coordinate all available PV inverters when network voltages cannot be regulated within  $[V, \tilde{V}]$  locally, where the steady-state conditions can be represented as follows:

$$\begin{cases} \lim_{t \rightarrow \infty} \|V_i(t) - \tilde{V}\| = 0, & V_i(t) > \tilde{V} \\ \lim_{t \rightarrow \infty} \|V_i(t) - V\| = 0, & V_i(t) < V \end{cases} \quad (9)$$

In such circumstances, all PV inverters with available capacity will increase their reactive power output until all network voltages are back to the allowable range. This control will be deactivated when the network voltages are recovered within a safe range, which is achieved by a distributed reset algorithm proposed in this subsection.

The utilization ratio of Level III control is updated based on the following equations as:

$$\dot{u}_i^{III}(t) = G_i^{III} \left[ \sum_{j=1}^N a_{ij} (u_j^{III}(t) - u_i^{III}(t)) \right] + e(t) \quad (10)$$

$$e(t) = \begin{cases} K_i^{III} (V_i(t) - \tilde{V}), & V_i > \tilde{V} \\ 0, & V \leq V_i \leq \tilde{V} \\ K_i^{III} (V_i(t) - V), & V_i < V \end{cases} \quad (11)$$

where  $K_i^{III} > 0$  and  $G_i^{III} > 0$  are the distributed control gains.

The proposed distributed control reaches consensus (i.e. steady-state), for any initial state, when the following conditions are met:

$$\lim_{t \rightarrow \infty} \|e(t)\| = 0, \lim_{t \rightarrow \infty} \|u_i^{III}(t) - u_j^{III}(t)\| = 0 \quad (12)$$

Equation (12) ensures the control objectives in (9) are met. The proposed control can guarantee that the voltage deviations out of  $[V, \tilde{V}]$  are eliminated in steady-state with the utilization ratios of Level III control for each inverter equalized.

*Remark 3 (Level III control gain selection):*  $K_i^{III}$  and  $G_i^{III}$  determines the convergence speed of the proposed distributed control. Generally, the larger  $K_i^{III}$  and  $G_i^{III}$  are chosen, the faster the convergence speed will be. However, the trade-off between robustness and convergence speed should also be

considered when choosing  $K_i^{III}$  and  $G_i^{III}$ . The stability criteria for Level III control gain selection is further discussed in Section IV.

Furthermore, integrators in (9) should be reset when all network bus voltages are back to the safe operating range. In order to be consistent with the ideology of distributed control, the integrators also need to be reset in a fully distributed manner. This motivates us to design a distributed reset algorithm for the proposed distributed control. To this end, a flag signal of each inverter is defined as:

$$F_i(t) = \begin{cases} 0, & \frac{\underline{V} + \underline{V}}{2} \leq V_i(t) \leq \frac{\tilde{V} + \bar{V}}{2} \\ 1, & \text{otherwise} \end{cases} \quad (13)$$

where  $(\tilde{V} + \bar{V})/2$  and  $(\underline{V} + \underline{V})/2$  are the upper and lower bound to trigger the flag value, respectively. The flag signal will turn from 0 to 1 when the voltage is out of the range defined in (13). The reset signal  $R_i(t)$  of each inverter will be updated according to the following equations:

$$\dot{r}_i(t) = \chi_i \sum_{j=1}^N a_{ij} (r_j(t - \tau(t)) - r_i(t)) + \gamma_i (F_i(t) - r_i(t)) \quad (14)$$

$$R_i(t) = \text{sign}[r_i(t)] \quad (15)$$

where  $r_i(t)$  and  $R_i(t)$  are the transmitted signal and the reset signal of the  $i^{\text{th}}$  inverter, respectively.  $\chi_i > 0$  and  $\gamma_i > 0$  are two coefficients which influence the convergence speed of the algorithm. It should be noted that  $r_i(t)$  shares the same communication network as of (9). The integrators for the distributed control will be reset when  $R_i(t)$  falls from 1 to 0. According to the distributed reset algorithm (13)-(15),  $\forall V_i(t) \notin [(\underline{V} + \underline{V})/2, (\tilde{V} + \bar{V})/2] \Rightarrow \forall F_i(t), R_i(t) \neq 0$ , which ensures the integrators can be reset in a distributed manner when the voltages return to the defined voltage range in (13).

#### IV. STABILITY ANALYSIS

In order to analyze the closed-loop system stability under the proposed control, we can rewrite the proposed three-level control (5) as:

$$\Delta Q_i = u_i \cdot \bar{Q}_i + \bar{Q}_i^d \quad (16)$$

It should be noted that  $\bar{Q}_i^d = 0$  when there is no PV generation. During the daytime, the reactive power from PV inverter contains both controllable term  $u_i \cdot \bar{Q}_i$  and a disturbance term  $\bar{Q}_i^d$ .  $\bar{Q}_i$  is a constant indicating the available reactive power capacity of PV inverter for a certain period. In this section, the symbol of time  $t$  is omitted for brevity.

In matrix form, (16) can be written as:

$$\Delta Q = \bar{Q}u + \bar{Q}^d \quad (17)$$

where vectors  $u = \text{col}\{u_1, u_2, \dots, u_N\}$ ,  $\bar{Q}^d = \text{col}\{\bar{Q}_1^d, \bar{Q}_2^d, \dots, \bar{Q}_N^d\}$ , and matrix  $\bar{Q} = \text{diag}\{\bar{Q}_1, \bar{Q}_2, \dots, \bar{Q}_N\}$ .

Combing (2) and (17), the system can be rearranged in matrix form as:

$$\begin{aligned} \Delta V &= S_{VQ} \bar{Q}u + S_{VQ} \bar{Q}^d + S_{VP} \Delta P \\ &= S_{VQ} \bar{Q}u + W \end{aligned} \quad (18)$$

where  $W = S_{VQ} \bar{Q}^d + S_{VP} \Delta P$  is the uncontrollable term, which can be viewed as a disturbance. The system under the proposed control can be derived into three operational ranges, which is illustrated in Fig. 1.

##### A. Level I Control for $V_i \in [\underline{V}, \tilde{V}]$

In this operational range, only the ramp-rate control will take effect. The ramp-rate control functions like derivative control, which generates a control signal  $u_i^I$  based on the derivate of voltage (dV/dt). As the ramp-rate control based on moving average filter is not a linear controller, the approximation dynamics can be obtained from:

$$u_i = K_i^I \frac{s}{s + \hat{\omega}} V_i \quad (19)$$

where  $\hat{\omega}_i$  is a parameter corresponding to the window length of the moving average filter.

Substituting (19) into (18), we can obtain the system dynamics in matrix form as:

$$\Delta \dot{V} + \hat{\omega} \Delta V = \bar{Q} S_{VQ} K^I \Delta \dot{V} + \dot{W} + \hat{\omega} W \quad (20)$$

$$\text{i.e. } \Delta \dot{V} = -(I - \bar{Q} S_{VQ} K^I) \hat{\omega} \Delta V + W^I \quad (21)$$

where  $\hat{\omega} = \text{diag}\{\hat{\omega}_1, \hat{\omega}_2, \dots, \hat{\omega}_N\}$ ,  $K^I = \text{diag}\{K_1^I, K_2^I, \dots, K_N^I\}$ .  $W^I = \dot{W} + \hat{\omega} W$ ,  $I$  is an identity matrix of the appropriate dimension.

If the system is stable, the system matrix in (21) should be Hurwitz, i.e.  $-(I - \bar{Q} S_{VQ} K^I) \hat{\omega} \prec 0$ . As  $\hat{\omega}$  of the is positive definite, thus it requires  $I - \bar{Q} S_{VQ} K^I \succ 0$ . Therefore, the chosen value of  $K^I$  should satisfy all the eigenvalues  $\text{Re}[\lambda_i(I - \bar{Q} S_{VQ} K^I)] > 0$ .

##### B. Two-Level Control for $V_i \in [\underline{V}, \underline{V}] \cup [\tilde{V}, \bar{V}]$

In this operational range, both ramp-rate control and droop control will take effect. The droop control in (7) can be rewritten as:

$$u_i^{II} = K_i^{II} [(V_i - V^*) - (V_i^{II} - V^*)] = K_i^{II} (\Delta V_i - \Delta V_i^{II}) \quad (22)$$

$$\text{where } V_i^{II} = \begin{cases} \tilde{V}, & V_i(t) > \tilde{V} \\ V_i, & \underline{V} \leq V_i(t) \leq \tilde{V} \\ \underline{V}, & V_i(t) < \underline{V} \end{cases}$$

and  $V^*$  is the equilibrium points of  $\Delta V$  in the system model, which is set as 1 p.u. in this paper.

Substituting the control law of (19) and (22) into (18), and rearranging in matrix form we get:

$$\begin{aligned} \Delta\dot{V} + \hat{\omega}\Delta V &= \bar{Q}S_{VQ}(K^I\Delta\dot{V} + K^{II}\Delta\dot{V} + \\ K^{II}\hat{\omega}(\Delta V + \Delta V^{II})) + \dot{W} + \hat{\omega}W \end{aligned} \quad (23)$$

also representable as

$$\Delta\dot{V} = [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}(\bar{Q}S_{VQ}K^{II} - I)\hat{\omega}\Delta V + W^{II} \quad (24)$$

where  $\Delta V^{II} = \text{col}\{\Delta V_1^{II}, \Delta V_2^{II}, \dots, \Delta V_N^{II}\}$ ,  $K^{II} = \text{diag}\{K_1^{II}, K_2^{II}, \dots, K_N^{II}\}$ ,  $W^{II}$  is the disturbance term which can be derived from above equations.

Therefore, for the dynamic system of (24), the system stability requires the following matrix to be *Hurwitz*:

$$\Psi = [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}(\bar{Q}S_{VQ}K^{II} - I)\hat{\omega} < 0 \quad (25)$$

For a certain control gain  $K^I$  in Level I control, the chosen value of  $K^{II}$  should satisfy all the eigenvalues  $\text{Re}[\lambda_i(\Psi)] < 0$ .

### C. Three-Level Control for $V_i \notin [\underline{V}, \bar{V}]$

In this operational range, all three control levels take effect. In matrix form, the distributed controller in level III can be represented as:

$$\begin{aligned} \dot{u}^{III} &= -G^{III}Lu^{III} + e = -G^{III}Lu^{III} + K^{III}(V - V^{III}) \\ &= -G^{III}Lu^{III} + K^{III}[(V - V^*) - (V^{III} - V^*)] \\ &= -G^{III}Lu^{III} + K^{III}(\Delta V - \Delta V^{III}) \end{aligned} \quad (26)$$

$$\text{where } V_i^{III} = \begin{cases} \bar{V}, & V_i(t) > \bar{V} \\ V_i, & \underline{V} \leq V_i(t) \leq \bar{V} \\ \underline{V}, & V_i(t) < \underline{V} \end{cases}$$

Substituting all three level control laws into (18), we obtain:

$$\begin{aligned} \Delta\dot{V} &= [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}[\bar{Q}S_{VQ}K^{II} - I]\hat{\omega}\Delta V + \bar{Q}S_{VQ}K^{III}\Delta V \\ &+ [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}[\bar{Q}S_{VQ}(-G^{III}L + \hat{\omega})u^{III}] + W^{III} \end{aligned} \quad (27)$$

where  $K^{III} = \text{diag}\{K_1^{III}, K_2^{III}, \dots, K_N^{III}\}$ ,  $G^{III} = \text{diag}\{G_1^{III}, G_2^{III}, \dots, G_N^{III}\}$ ,  $W^{III}$  is the disturbance term.

Combining (26) and (27) into the state-space form, one can obtain:

$$\begin{bmatrix} \Delta\dot{V} \\ \dot{u}^{III} \end{bmatrix} = \begin{bmatrix} \Gamma & \Xi \\ K^{III} & -G^{III}L \end{bmatrix} \begin{bmatrix} \Delta V \\ u^{III} \end{bmatrix} + \begin{bmatrix} W^{III} \\ -K^{III}(V^{III} - V^*) \end{bmatrix} \quad (28)$$

where

$$\begin{aligned} \Gamma &= [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}[\bar{Q}S_{VQ}K^{II} - I]\hat{\omega} + \bar{Q}S_{VQ}K^{III} \\ \Xi &= [I - \bar{Q}S_{VQ}(K^I + K^{II})]^{-1}[\bar{Q}S_{VQ}(-G^{III}L + \hat{\omega})] \end{aligned}$$

The system stability requires the following matrix to be *Hurwitz*:

$$\begin{bmatrix} \Gamma & \Xi \\ K^{III} & -G^{III}L \end{bmatrix} < 0 \quad (29)$$

Therefore, based on the derived closed-loop systems in (21), (25) and (29), the trace of eigenvalues can be plotted to examine the stability boundaries of the studied system.

## V. PHIL EXPERIMENTAL TESTS

### A. Test System

In this section, the proposed three-level coordinated voltage control method is validated in a 7-bus distribution network [13] through a power hardware-in-the-loop test. The single line diagram of the test system is shown in Fig. 3 and the PV capacity and load demand at each bus are modified as in Table I. One-way communication among PV inverters are considered, which forms a directed circuit communication graph as shown in Fig. 3. As all the PV inverters are connected by a directed communication graph, the convergence of the proposed distributed control is guaranteed. The tap change of the OLTC is not considered and the voltage at bus 0 is kept at 1.0 p.u. during the operation. Based on national standards of different countries, distribution networks are usually allowed a maximum voltage deviation of  $\pm 5\% \sim \pm 10\%$  [30]. Therefore, in this paper, the voltage ranges to trigger distributed control and droop control are chosen as  $[\underline{V}, \bar{V}] = [0.95, 1.05]$  and  $[\underline{V}, \tilde{V}] = [0.97, 1.03]$ .

In order to test the proposed control algorithm in a more realistic environment, a PHIL experiment is conducted [31]. Fig. 4 shows the real-time power hardware-in-the-loop test platform utilized in this paper. The PV inverter and load demand at bus 5 are replaced with real laboratory equipment. A 15kVA inverter and a 10kW resistive load bank with a 90kVA interfacing converter are used, as shown in Fig. 4. The 90kVA interfacing converter reproduces the voltages from the simulation point of common coupling within the laboratory while at the same time measures the hardware response (currents) to be fed in at the simulation point of common coupling. The voltage and current signals are scaled up and down between the real-time simulation and the hardware equipment. To increase the accuracy of the PHIL implementation, time delay compensation technique presented in [32] has been used. The remainder of the 7-bus distribution network is simulated in a digital real-time simulator (RTDS). It should be noted that the inner voltage and current control loop dynamics for the hardware PV inverter are considered as in [33], while remaining six inverters are simplified into controlled current sources.

The proposed control scheme is embedded in a separate instance for each of the PV inverters within the RTDS. The distribution network model in RTDS is simulated at a time step of 50 $\mu$ s. The network voltages are sampled with a slower rate of 10ms, which is acquired by the proposed three-level controllers to generate control signals. Furthermore, all the

communications required for the distributed control algorithm are implemented through a real communication network. The control signal from each of the dedicated controller instance is independently routed outside of the RTDS using GTNET cards employing user datagram protocol (UDP) into a communication emulator, and back into neighboring controller instance in the RTDS. Such an experiment setup represents close to real-world implementation allowing for the feasibility of the proposed approach to be validated.

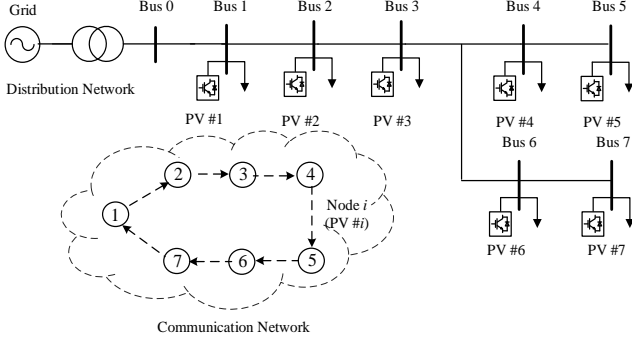


Fig. 3. The configuration of the 7-bus distribution network and the communication graph of PV inverters.

TABLE I  
THE PV CAPACITY AND LOAD DEMAND IN THE 7-BUS NETWORK

Bus ID	PV Capacity (kVA)	Load Demand (kVA)
Bus 1	850	700+100j
Bus 2	600	850+250j
Bus 3	1200	600+150j
Bus 4	900	1250+500j
Bus 5	800	900+300j
Bus 6	900	100+100j
Bus 7	1000	1000+350j

TABLE II  
PARAMETERS OF PROPOSED THREE-LEVEL CONTROL

Level I	$K_i^I = 15$ and $\omega_i = 10$
Level II	$K_i^{II} = 10$
Level III	$K_i^{III} = 1$ , $G_i^{III} = 1$ , $\chi_i = 2$ , $\gamma_i = 1$

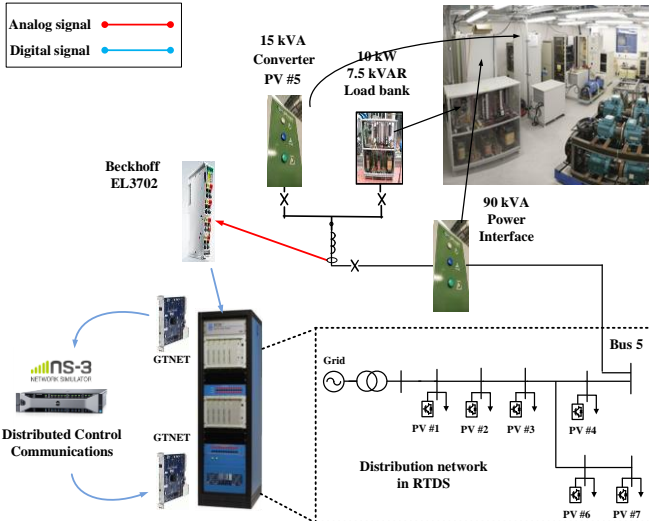


Fig. 4. Power hardware-in-the-loop test platform.

## B. Eigenvalue Analysis

The stability analysis in Section IV is applied to the 7-bus distribution network in this section, with the control parameters as given in Table II. The three-level control for  $V_i \notin [\underline{V}, \bar{V}]$  in Section IV-C is studied as an example. The trace of eigenvalues as control gains  $K_i^{III}$  and  $G_i^{III}$  varying are shown in Fig. 5 and Fig. 6. Arrows indicate the direction of increasing gain. Significant negative eigenvalues are omitted for clarity. It can be observed that increasing the control gains in Level III leads the system to instability. In Fig. 5,  $G_i^{III} = 1$  keeps unchanged and eigenvalue traces cross the negative half plane of real axis when  $K_i^{III} > 35$ , which indicates system unstable. Similarly, in Fig. 6,  $K_i^{III} = 1$  keeps unchanged and the eigenvalue traces cross the negative half plane of real axis when  $G_i^{III} > 70$ . Generally, increasing of control gains  $K_i^{III}$  and  $G_i^{III}$  will speed up the convergence but could lead to system oscillation and instability.

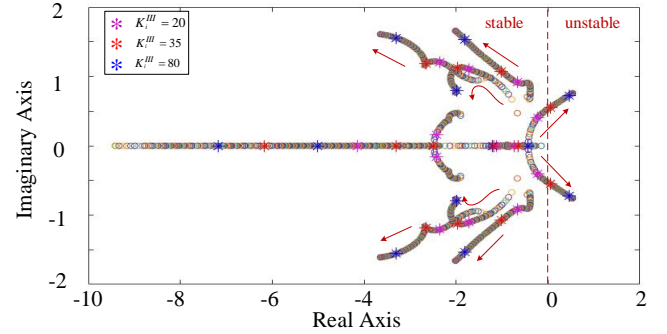


Fig. 5. The eigenvalue traces of closed-loop system (28) as controller gains  $G_i^{III} = 1$ ,  $K_i^{III}$  varying from [1, 100].

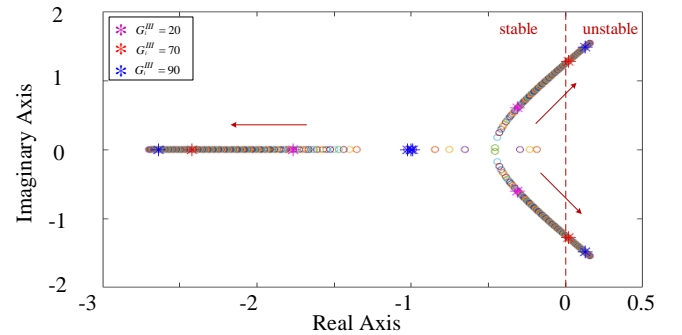


Fig. 6. The eigenvalue traces of closed-loop system (28) as controller gains  $K_i^{III} = 1$ ,  $G_i^{III}$  varying from [1, 100].

## C. Test Case 1: Voltage Drop with Step Load Change

In Test Case 1, the effectiveness of the proposed control approach to mitigate sudden voltage drops due to step changes in load is tested. Such a situation would normally occur in the evening in distribution grids when load demand is high but there is a lack of PV generation. As there is no PV production, all capacity of PV inverters can be utilized to supply reactive



power for network voltage regulation. The communication delay in the distributed control is not considered in Test Case 1. Three step changes in load are emulated, in terms of percentage representable as: (i) an increase from 35% to 65% at  $t=30$ s; (ii) a further increase from 65% to 100% at  $t=130$ s; and (iii) a decrease from 100% to 35% at  $t=230$ s.

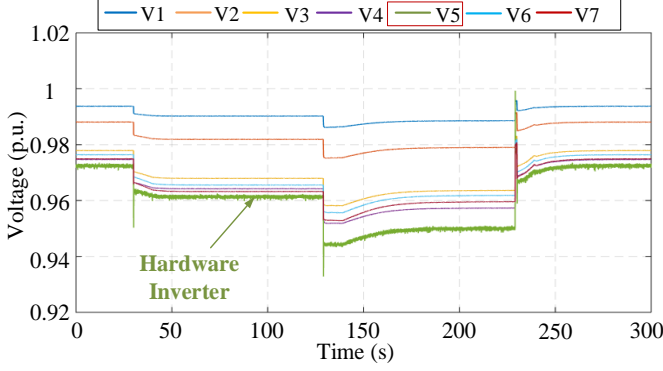


Fig. 7. Voltage profiles of the distribution network in Test Case 1.

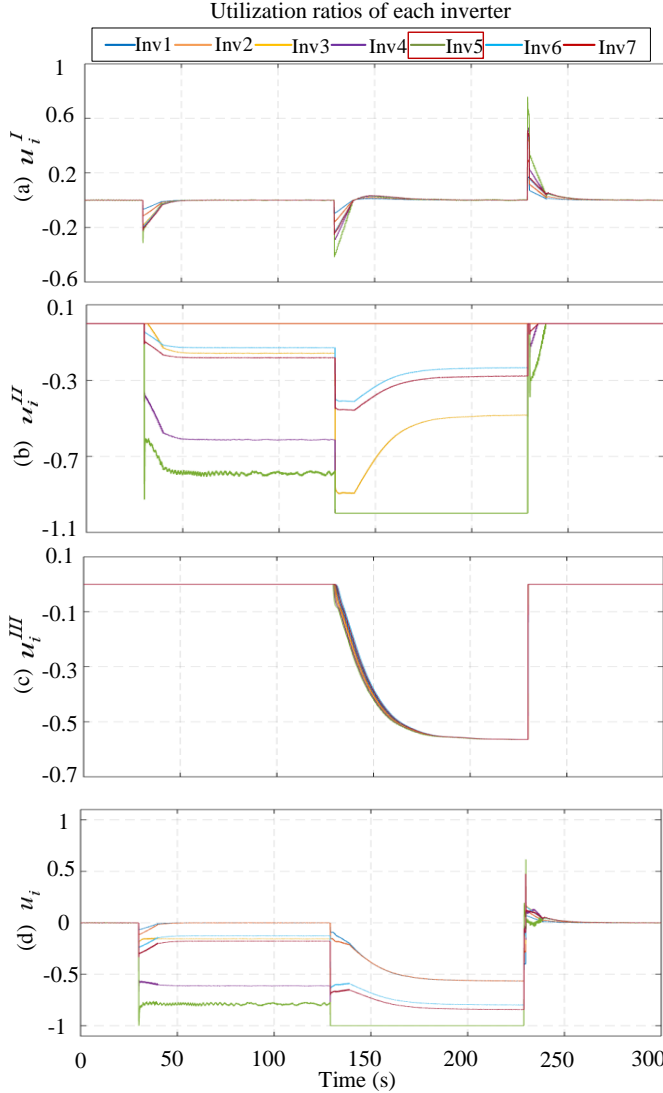


Fig. 8. The utilization ratios of each inverter in Test Case 1.

The network voltage profiles, utilization ratios, and the PV inverters reactive power outputs have been shown in Figs. 7-9, respectively. The experimental results are further explained in

respective time sequences below:

(i)  $0s \leq t < 30s$ . As the network voltages are in the normal operation range of  $[\underline{V}, \bar{V}]$  during this period, the utilization ratios are all zeros and there is no reactive power output from PV inverters.

(ii)  $30s \leq t < 130s$ . With the increase in load, five of the seven bus voltages are out of  $[\underline{V}, \bar{V}]$  but still within  $[\underline{V}, \bar{V}]$ . The Level II droop control operates in this period to mitigate the voltage deviations locally.

(iii)  $130s \leq t < 230s$ . With the further increase in load, the voltage of bus 5 is out of the range  $[\underline{V}, \bar{V}]$ , thereby triggering the distributed control. Each inverter generates the same additional utilization ratio for reactive power injection, as shown in Fig. 6 (c).

(iv)  $230s \leq t < 300s$ . With the decrease in load, the network voltages are back to the voltage range of  $[0.97, 1.03]$ . The effect of ramp-rate control mitigates the rate of voltage changes. The integrators of distributed control are reset according to the distributed reset algorithm presented in (13)-(15). All the utilization ratios and reactive power outputs of PV inverters are then back to zero.

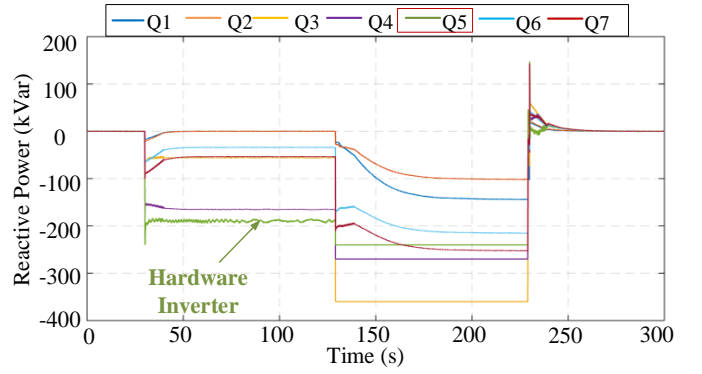


Fig. 9. The reactive power output of PV inverters in Test Case 1.

#### D. Test Case 2: Communication Delays

In Test Case 2, the performance of the proposed control method with communication delays is investigated. The test system conditions remain the same as in Test Case 1. Two types of communication delays are considered: (i) a constant time delay  $\tau_1(t)=0.5s$ , and (ii) a variable time delay representable by Gaussian distribution as:

$$\tau_2(t) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{1}{2}\left(\frac{x-\mu}{\sigma}\right)^2} s$$

where mean value  $\mu=0.15$  and variance  $\sigma^2=0.05$ . These two time delays are bounded and satisfy  $\tau(t) \leq \bar{\tau}$ . The maximum tolerable communication delay can be determined based on the time-delay stability analysis as in [33].

In Fig. 10, the voltage profiles of the hardware PV inverter (bus 5) with the two communication delays are compared. Fig. 11 shows the comparison of utilization ratios  $u_i^{III}(t)$  of each inverter with these two communication delays. As shown in Fig. 10 and 11, the proposed control approach works normally under both constant delay  $\tau_1(t)$  and variable delay  $\tau_2(t)$ . This validates the effectiveness of the proposed approach under



different communication delay conditions. It also can be noticed that the increase in communication delay impacts the convergence speed of the distributed control. Therefore, it takes a longer time to control the bus voltage back to 0.95 p.u., as shown in Fig. 10. Furthermore, with the increase in communication delay, the reset time of the integrators also increases, as shown in Fig. 11 (a) and (b).

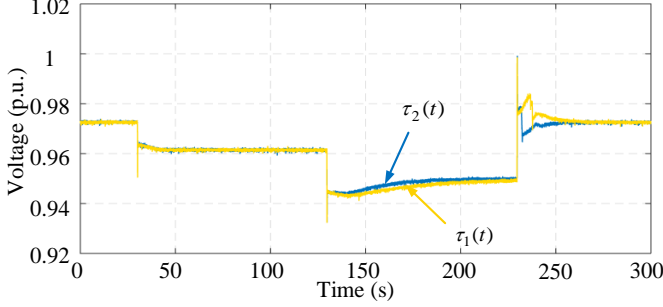


Fig. 10. Voltage profiles of real hardware PV inverter at bus 5 with two types of communication delays.

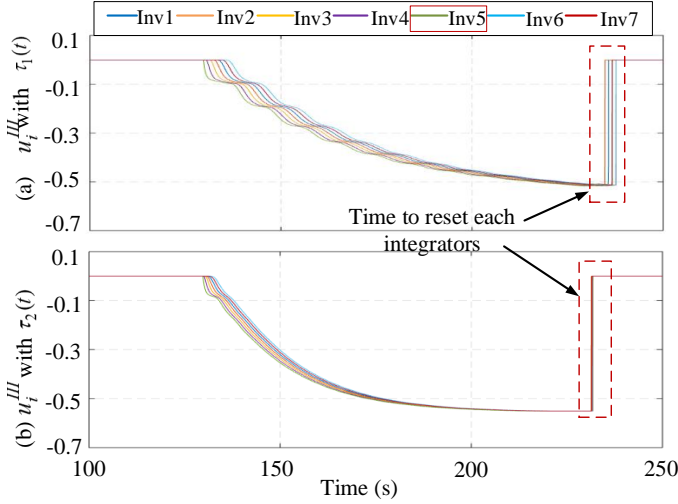


Fig. 11. The comparison of utilization ratios  $u_i^{III}(t)$  with (a)  $\tau_1(t)$  and (b)  $\tau_2(t)$ .

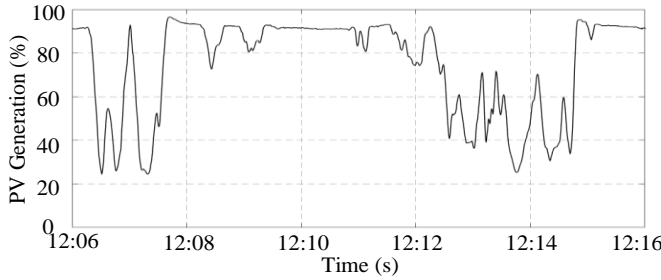


Fig. 12. PV profiles in Test Case 3.

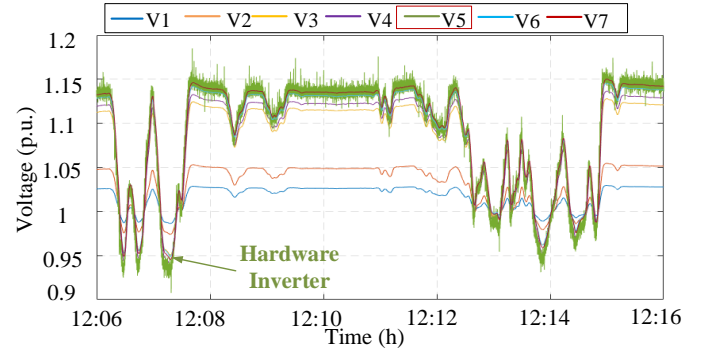


Fig. 13. Voltage profiles without any voltage control.

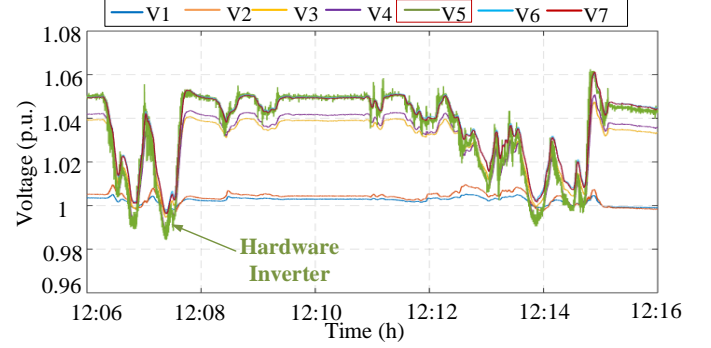


Fig. 14. Voltage profiles with the proposed method in Test Case 3.

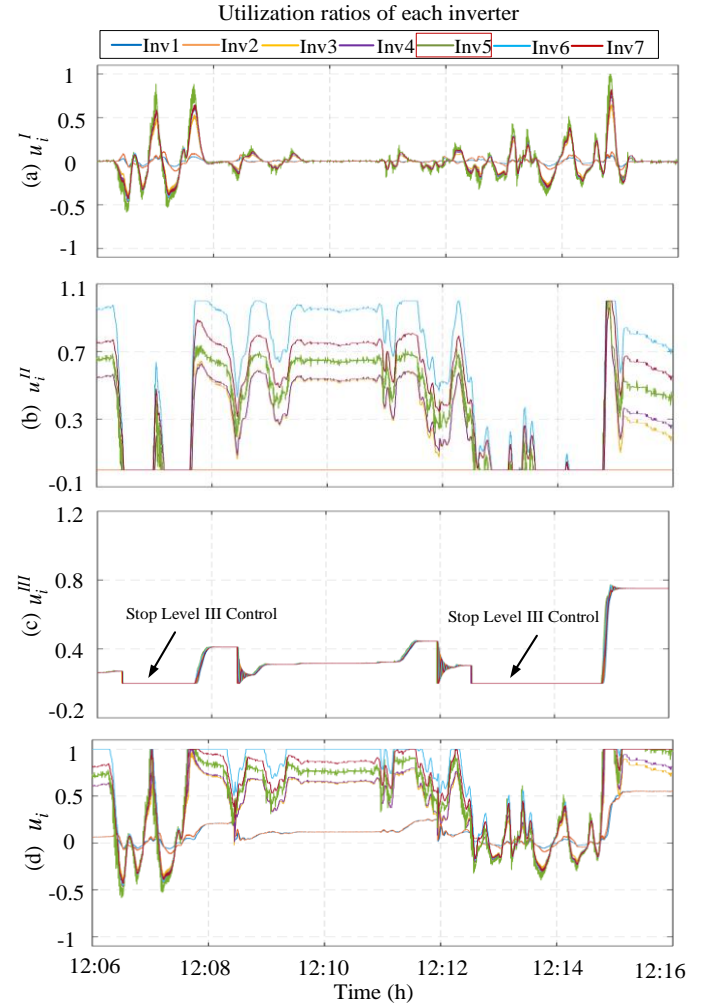


Fig. 15. The utilization ratios of each inverter in Test Case3.

### E. Test Case 3: Voltage Rise with Real PV Data

In Test Case 3, the ability of the proposed control approach to mitigate voltage rise conditions arising from high PV penetration is tested. Such a situation usually occurs during daytime, when the residential load demand is low while PV generation is at its peak. Ten minutes PV data with one-second resolution as shown in Fig. 12, measured by EPRI in June 2012 [34], is used here. As per the profile shown in Fig. 12, the PV real power output ramps up and down from 20% to 95% of its capacity. Therefore, the PV capacity is slightly oversized ( $\alpha_i=0.1$ ) to enable sufficient reactive power output support even under peak PV generation. The line resistance is increased by ten times (compared to the previous studies, Test Case 1 and 2) to enable emulation of voltage rise issues experienced in a weaker, more resistive distribution grid. The communication delay is considered as  $\tau(t)=100\text{ms}$ . The load percentage is set to 40% while variations in load are ignored. The voltage profiles without any voltage control are shown in Fig. 13 as a reference case for comparison.

The network voltage profiles, utilization ratios, and reactive power outputs are shown in Figs. 14-16, respectively. As can be observed from Fig. 14, the network voltages can be mostly regulated within  $[0.95, 1.05]$ , except for very short transient periods. The PHIL results reinforce the verification of the proposed control approach to: (i) mitigate voltage rise issues, (ii) mitigate the voltage fluctuations and (iii) work under real-world PV data. In addition, the integrator reset control stops the Level III control when the network voltages are back within safe operational range  $[0.96, 1.04]$ , as shown in Fig. 14 and Fig. 15(c).

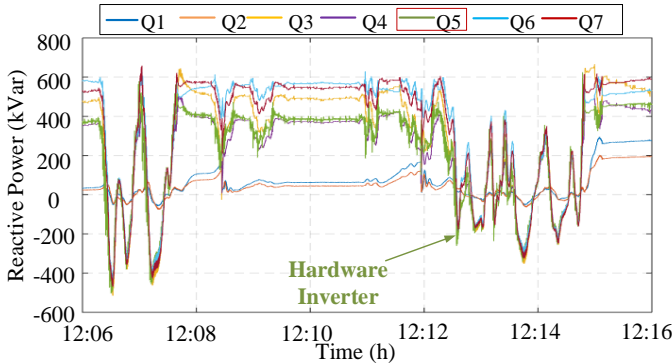


Fig. 16. The reactive power output of each inverter in Test Case 3.

### F. Test Case 4: Comparison with Method in [22]

In Test Case 4, the proposed method is compared with the method in [22] to further demonstrate its advantages. In [22], a two-level voltage var control scheme incorporating a ramp-rate control and distributed leader-follower control is proposed. The distinctive differences with the proposed control, i.e., the droop control and integrator reset, are not considered. The performance of the two methods is compared with respect to the control objective of regulating the voltage within  $[0.95, 1.05]$ . The network voltage profiles, utilization ratios, and reactive power outputs with the method in [22] are shown in Figs. 17-19, respectively.

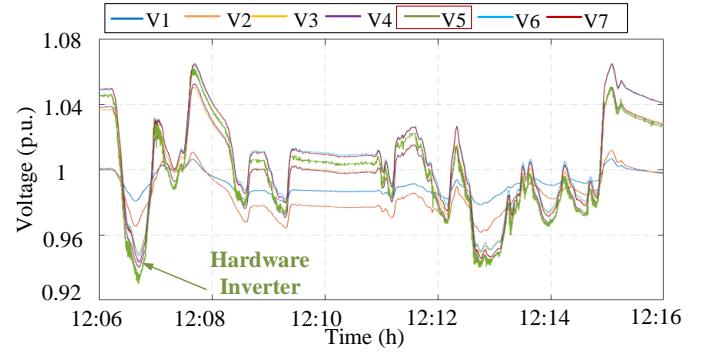


Fig. 17. Voltage profiles in Test Case 4.

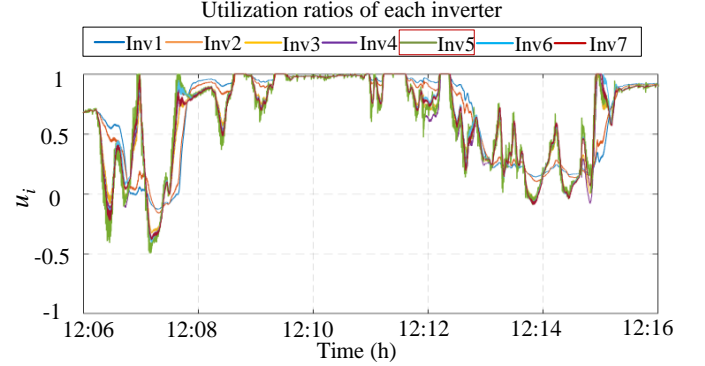


Fig. 18. The utilization ratios of each inverter in Test Case 4.

It can be seen that the method proposed in this paper offers the following two advantages:

(i) The proposed three-level voltage control is fully distributed, while the method in [22] relies on the measurement of voltage at critical buses. Thus the proposed control structure is more resilient than the method in [22], as the critical bus measurement and leader control are not needed.

(ii) Although the method in [22] can also regulate the voltage within  $[0.95, 1.05]$  except for transient periods in Fig. 17, more reactive power is actually utilized during this process, as compared by Fig. 16 and Fig. 19. The usage of reactive power by the method in [22] is 35% higher than the proposed method. The utilization ratio of each inverter also indicates more use of reactive power, as compared by Fig. 18 and Fig. 15 (d). The main reason is that the droop control and integrator reset are not considered in [22].

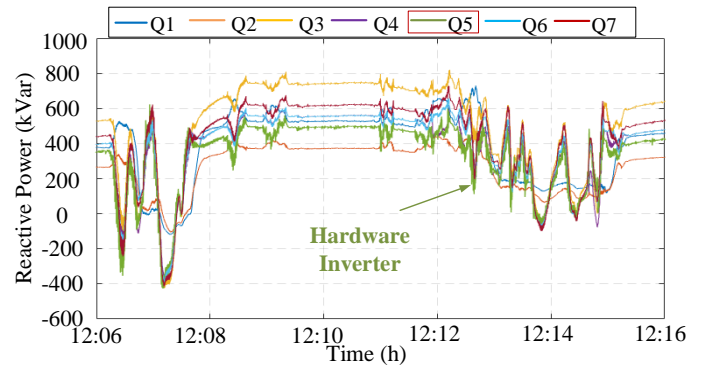


Fig. 19. The reactive power output of each inverter in Test Case 4.

## VI. CONCLUSION

In this paper, a three-level coordinated control method for PV inverters has been proposed for voltage regulation in distribution networks. The proposed three-level control can achieve both local voltage control and distributed voltage control by using the reactive power from PV inverters. The stability of the proposed three-level coordinated voltage control is analyzed for different network voltage ranges.

The effectiveness of the proposed control approach has been validated by means of PHIL experiment. The proposed controllers are separately designed and communication links among them are realistically implemented. Compared with most of existing work, this platform allows a more realistic validation of the distributed control method. The results have demonstrated that the three-level control can determine the utilization ratio based on the network voltage conditions. The corresponding reactive power can be provided by each PV inverter to deal with both the voltage fluctuations and voltage limits violation issues. Besides, it has been validated that the proposed control method performs effectively under bounded communication delays. The comparison study with our previous research demonstrates advantages of the proposed three-level voltage control method.

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